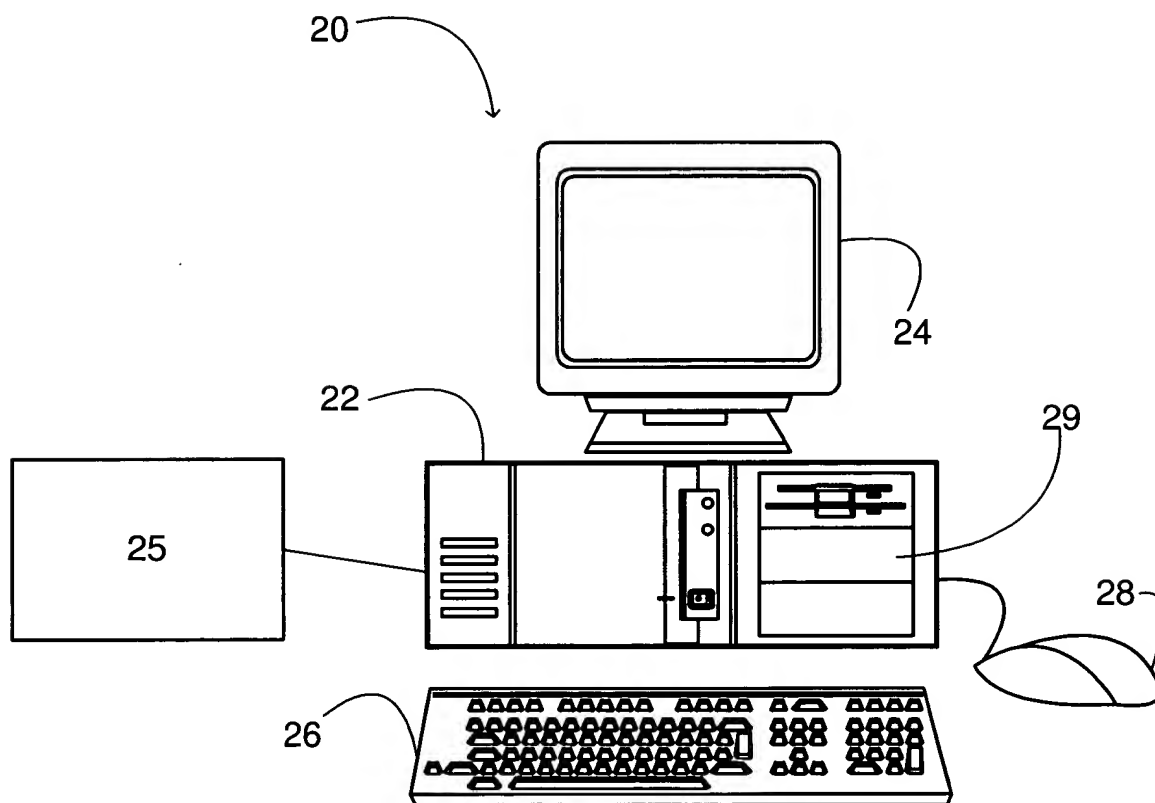




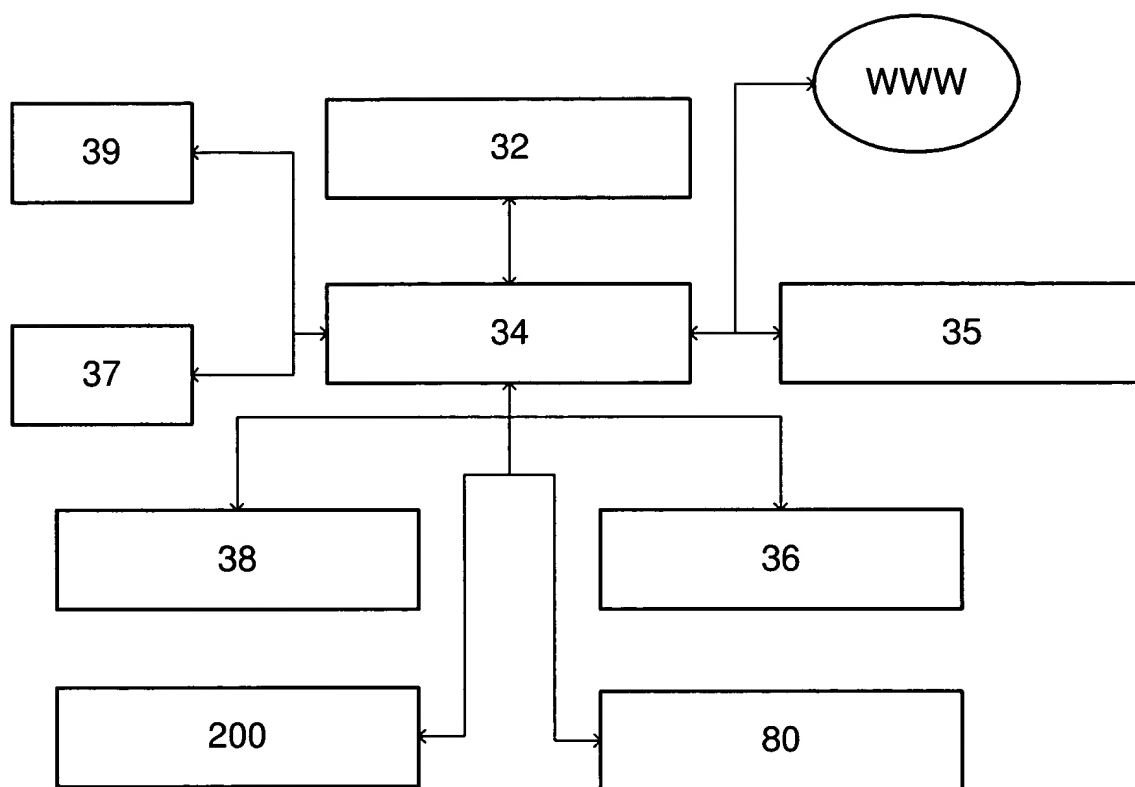
# REPLACEMENT SHEET

FIG. 2



REPLACEMENT SHEET

FIG. 3





REPLACEMENT SHEET

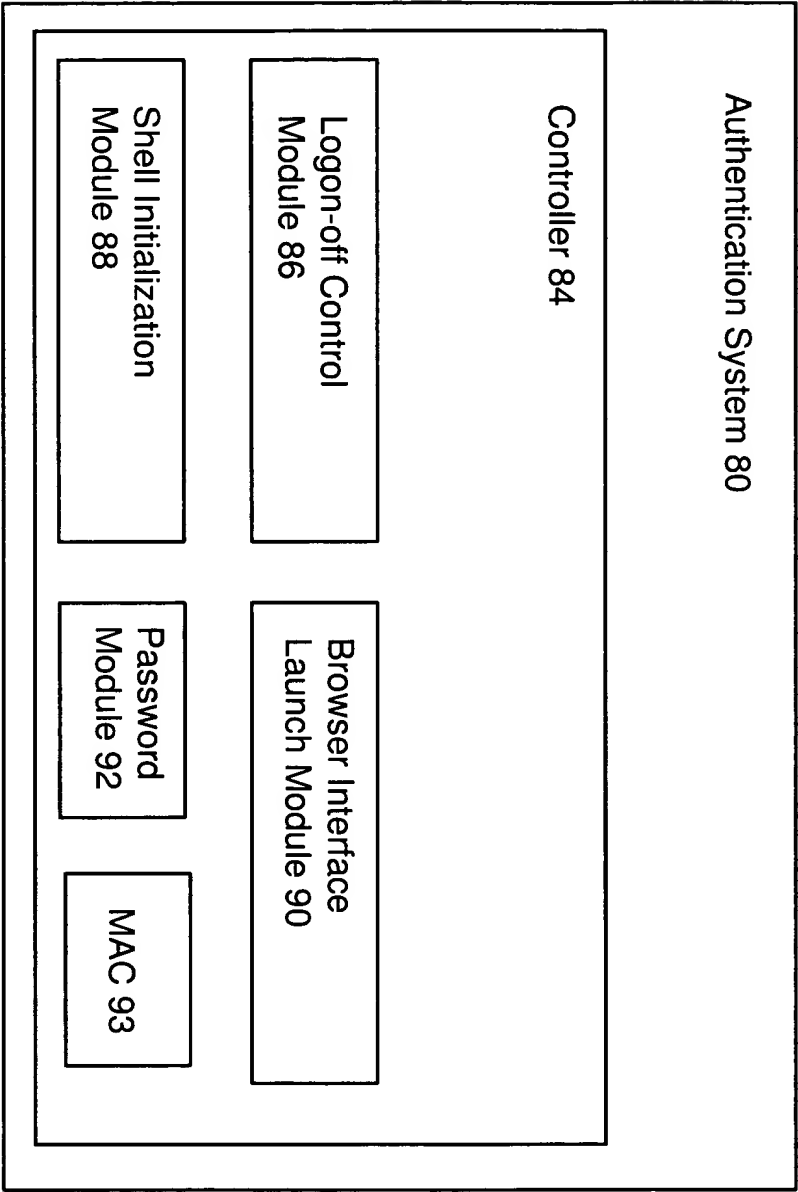


FIG. 4



# REPLACEMENT SHEET

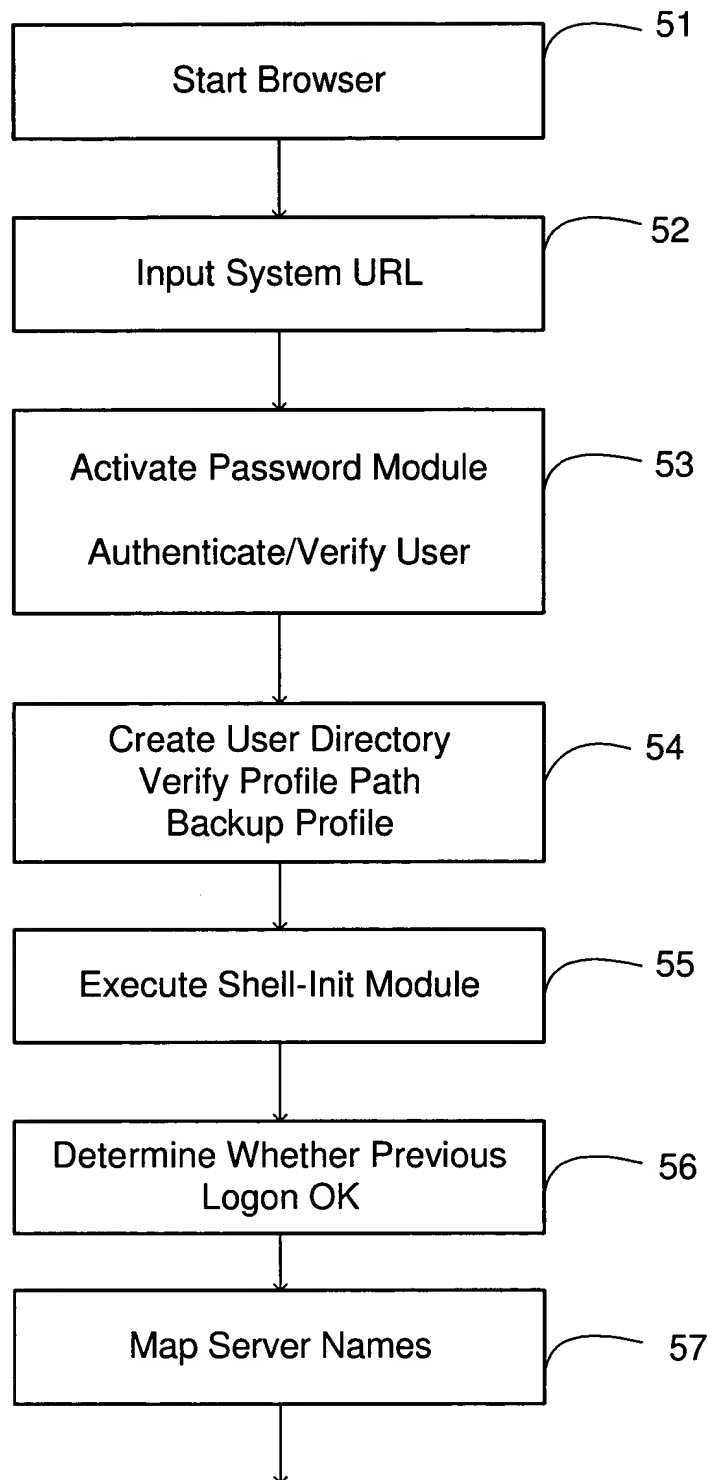


FIG. 5



## REPLACEMENT SHEET

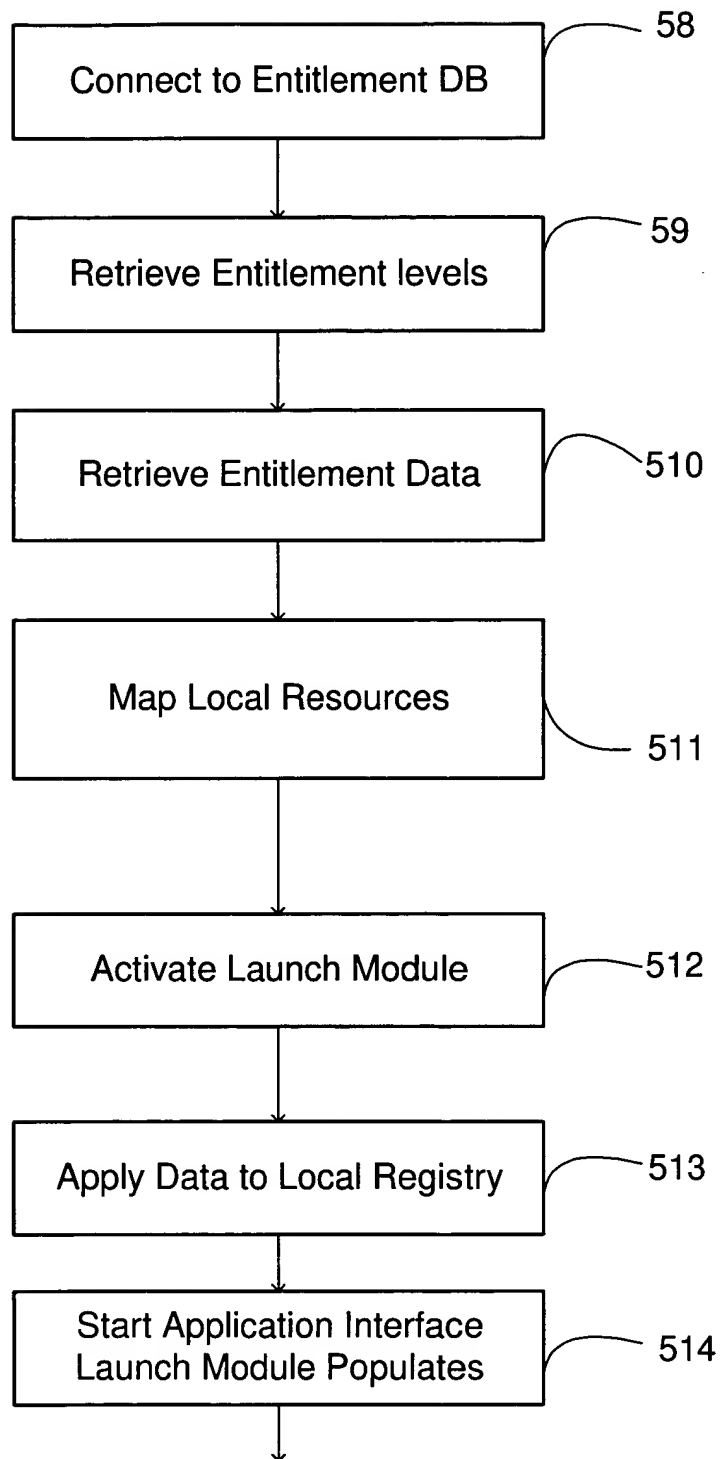


FIG. 6



## REPLACEMENT SHEET

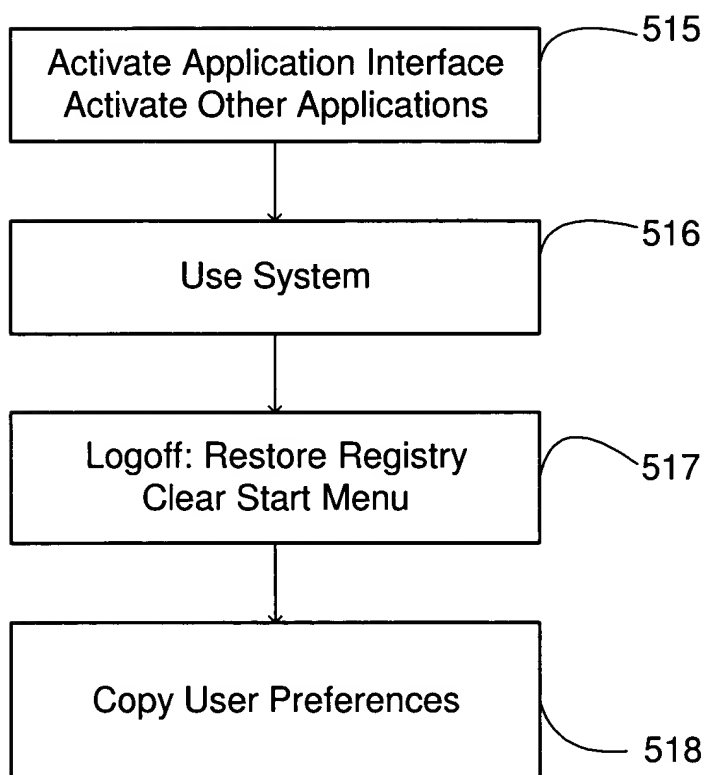
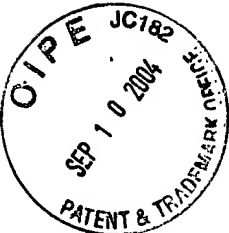


FIG. 7



200

PaineWebber ConsultNet - Microsoft Internet Explorer

—

File Edit View Favorites Tools Help

Address <https://constnetpainewebber.com/login.htm>

PaineWebber<sup>(R)</sup>

ConsultNet<sup>SM</sup>

User Name

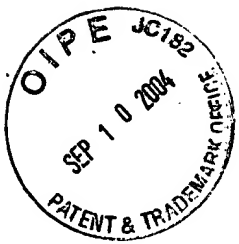
Password

Login

Clear

222

FIG. 8A



REPLACEMENT SHEET

200

201

PW Apps. User be00255 - Microsoft Internet Explorer										-			
PaineWebber <sup>(R)</sup> ConsultNet <sup>SM</sup>				Back	Forward	Refresh	Home	Internet	Exit	Print			
PW Apps Market Data													
			Broker Order Entry									Terminal 2	Terminal 3
Login Completed													

FIG. 8B





REPLACEMENT SHEET

220 221 223 202 225 214 216 227 231 233

218 PW Apps. User be00255 - Microsoft Internet Explorer

219 PaineWebber(R) ConsultiNetSM Back Forward Refresh Home Internet Exit Print Sp

Research PW Apps. Market Data Client Inquiry InfoNet Online Portfolio Review InsightOne Horses Mouth Fin. Framework ...

234 Mainframe Applications  
+ Client Info  
236 Management  
238 Operations  
+ Opportunities & Events  
+ Product Investments  
206 + Money Markets  
+ Municipal Bonds  
+ Mutual Funds  
205 + Private Investments  
+ Fixed Income  
+ Trust  
+ Broker Order Entry  
+ Support  
+ Tools

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Broker Order Entry		Terminal 2	Terminal 3
PAINWEBBER BROKER ORDER ENTRY		DATE: 07/10/00	
IE# _____ TRADE DATE: _____		SETTLES: _____ TIME: 10:59:38	
ACCOUNT: _____ TYPE (1/2): _____		SHORT NAME: _____	
BUY/SELL: _____ QTY: _____ SYMBOL: _____		DESC: _____ DEST AUTO	
SOLIC. (Y/N): _____ DISCR. EXCER: _____		COM. TYPE CD/TD: _____ RATE% _____	
PRICE: _____		TIME MODIFIERS ==> _____ PRICE MODIFIERS ==> _____	
OR _____		DAY: DAY ORDER _____ CLO: ON CLOSE _____	
STP LMT _____		GTC: GOOD TILL CXL _____ WO: WITH/WITHOUT SALE _____	
STP IND (Y) : _____		GTX: GOOD IN CROSS _____ OB: OR BETTER _____	
OPEN: MKT ON OPEN _____		AON: ALL OR NONE _____	
FOK: FILL OR KILL _____		FUNDS AVAIL \$ _____	
DNI: _ DNF: _ DISP: _ OC: IMMED OR CXL _____		SMA \$ _____	
MISC. COMMENTS: _____		BUY POWER \$ _____	
_____		EST PRINCIPAL \$ _____	
_____		EST COMM \$ _____	
BID: _ ASK: _ LAST: _ VOL: _			
F1=MULT F3=MENU F4=CORRECT ORDER F5=SYMBOL LOOKUP F6=TRADE SMRY			
F9=BASKET			

FIG. 9

REPLACEMENT SHEET



REPLACEMENT SHEET

220

232

228

218

219

224

210

211

204

PW Apps. User be00255 - Microsoft Internet Explorer

—

✕

PaineWebber<sup>(R)</sup>

ConsultNet<sup>SM</sup>

Back

Forward

Refresh

Home

Internet

Exit

Print

SP

Research PW Apps. Market Data

Client Inquiry

InfoNet

Online Portfolio Review

InsightOne

Horses Mouth

Fin. Framework ...

Ticker:

mstf

Quote CN

Quote MJ

Headlines

Story

Sym Guide

Hist Chart

Options

Time Sales

Inst Holder

Dyn Pages

Mkt Indices

Help

\*\*Sector Quotes\*\* ▾

\*\*News Cateags\*\* ▾

Symbol	Name/Desc.	Last	Chg	Bid	Ask	Open	High	Low	Vol.	Time
MSFT	Microsoft CP	-92 11s	+3 1s	92 11s	92 3/4	91 1/4	92 7/8	90 17	326,900	10:41

Historical Chart for MSFT

4/2/98

4/1/99

MSFT Volume x 1000

Symbols: mstf

Hist ▾

Plot: 120 ▾

Days ▾

\*\*Sectors\*\* ▾

Studies

Chart

Data

HQuote

From: 4/2/98

To: 4/2/99

Med ▾

Refresh

Edit

Help

Cols: 3

Monitor Last Updated April 3 10:45:08

INDU +10641.02 +5.04

COMP.Q +3999.28 -23.92

SPX -1477.21 -1.69

PWJ +45 1/2 +3 3/4

MSFT -92 11s +3 1s

C -65 3/4 +9/16

CSCO +64 25/32 -19/32

INTC +137 49/64 -1 35/64

SCH -32 3/4 -3/16

MOT +33 +1/8

JDSU -103 1/4 -12 15/16

MER -121 1/2 -1 3/4

FIG. 10B



REPLACEMENT SHEET

Monitor Symbol Edit Page				Refresh: 30 secs ▼				Reset	Submit Syms
INDU	Last ▼	OEX	Last ▼	TRAN	Last ▼	COMP.C	Last ▼		
USH.Z	Last ▼	TICK	Last ▼	TICQ	Last ▼	TRIN	Last ▼		
VOLU	Last ▼	VOLQ	Last ▼	T	Last ▼	IDEC	Last ▼		
UVOL	Last ▼	DVOL	Last ▼	UVOQ	Last ▼	DVOQ	Last ▼		
TIME	Last ▼	PWJ	Last ▼	CCI	Last ▼	BAC	Last ▼		
MWD	Last ▼	CSCO	Last ▼	COMS	Last ▼	DELL	Last ▼		
IBM	Last ▼	INTC	Last ▼	MSFT	Last ▼	ORCL	Last ▼		
NSCP	Last ▼	GE	Last ▼	FNM	Last ▼	AXP	Last ▼		

FIG. 10C





REPLACEMENT SHEET

221

PW Apps. User be00255 - Microsoft Internet Explorer

PaineWebber<sup>(R)</sup>

ConsultNet<sup>SM</sup>

Back

Forward

Refresh

Home

Internet

Exit

Print

SP

Research PW Apps. Market Data Client Inquiry InfoNet Online Portfolio Review InsightOne Horses Mouth Fin. Framework ...

250

Client Inquiry

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Client Search

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Client Balance

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Select Account

Select View

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Activity

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Unrealized G/L

Stmnt Household

Insurance

Realized G/L

Value

Unrealized Account Gain/Loss

Account Name: Return: Capital Appreciation

FA: PREM HH RMA Risk: 1 Aggressive

Date as of: Last Statement:

Sym. Security Desc. Quantity Current Value Position Cost Unr. G/L %G/L Cur. Price Ave. Cost Tax

Portfolio Totals:

All Allstate Corp.	1,574	37,677.62	22,823.00	14,855	65.1%	23.938	14.5	1
APLX Applix Inc.	600	3,450.00	7,725.00	-4,275	-55.3%	5.750	12.875	1
AMAT Applied Mater.	16,000	1,446,000	232,992.00	1,212,008	520.6%	90.375	14.562	1

Position: 1-3

Last Page

Get Full List

Update Prices

FIG. 12



REPLACEMENT SHEET

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PW Apps. User be00255 - Microsoft Internet Explorer

PaineWebber<sup>(R)</sup> ConsultNet<sup>SM</sup> Back Forward Refresh Home Internet Exit Print SP

Research PW Apps. Market Data Client Inquiry InfoNet Online Portfolio Review InsightOne Horses Mouth Fin. Framework ...

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InfoNet

E-Forum

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Marketing Support

Administrative Support

Operations Support

Training and Development

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A research Focus on the Biotechnology Sector  
(July 6, 200)

**Marketing Communications**  
Preview the July issue of Investment Intelligence  
(July 6, 200)

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Treasury Rates

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NewsWatch

PWN

Syndicate Calendars

Compliance News

This Week's WSJ Ad

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FIG. 13



REPLACEMENT SHEET

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PW Apps. User be00255 - Microsoft Internet Explorer

PaineWebber<sup>(R)</sup>  
ConsultNet<sup>SM</sup>

BackForwardRefreshHomeInternetExitPrintSP

Research PW Apps. Market Data Client Inquiry InfoNet Online Portfolio Review InsightOne Horses Mouth Fin. Framework ...

Investment Consulting Services

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PMP & Selections  
Industry Sector Search  
Presentation Builder

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Presentation Builder

The Online Presentation Builder Review Presentation Builder gives you the flexibility to create printable, client approved, Portfolio Reviews. Simply enter an account number and select the exhibits that you would like to include. After you have chosen your exhibits for a particular account click the Create Presentation button. The selected presentation will then be returned to the screen for your review. Then, from you browser, select Print to create the presentation.

Enter An Account Number:

Exhibit Choices

Exhibit Title	Program Availability
<input type="checkbox"/> Account Profile	All Programs (required for all presentation)
<input type="checkbox"/> Investment Outlook	Individual ACCESS account Only
<input type="checkbox"/> Changes in Value	All programs
<input type="checkbox"/> Current Performance (Gross)	All programs except Composite accounts
<input type="checkbox"/> Current Performance (Net)	All programs except Composite accounts
<input type="checkbox"/> Performance Analysis (Gross)	All programs
<input type="checkbox"/> Performance Analysis (Net)	All programs
<input type="checkbox"/> Asset Allocation	All programs
<input type="checkbox"/> Sector Analysis	All programs except PACE Select, PACE Multi, and Composite
<input type="checkbox"/> Industry Analysis	All programs except PACE Select, PACE Multi, and Composite
<input type="checkbox"/> Account Restrictions	All programs except Composite accounts
<input type="checkbox"/> Benchmark History	All programs
<input checked="" type="checkbox"/> Reference Guide	All programs

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FIG. 14





REPLACEMENT SHEET

227

PW Apps. User be00255 - Microsoft Internet Explorer

PaineWebber <sup>(R)</sup>	Back	Forward	Refresh	Home	Internet	Exit	Print	SP	
ConsultNet <sup>SM</sup>									

Research PW Apps. Market Data Client Inquiry InfoNet Online Portfolio Review InsightOne Horses Mouth Fin. Framework ...

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Investment Consulting Services

InsightOne

Home

Profile

Allocation

Print

Account Setup

Approval

Tools

204

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PaineWebber

Insight one

Investment Consulting Services

Important: Approval and Order Entry

Eligible Mutual Fund orders many not be entered unless the Insight One approval takes place before 4:00PM EST. Other eligible trades (Equities, Fixed Income, etc.) will be charged commissions unless InsightOne approval takes place before 6:00PM EST.

**Fast Track to Setting Up an InsightOne Account:**

For existing PaineWebber Accounts

1. Click on Profile
2. Select an account
3. Follow FastTrack Buttons

For Prospect Accounts

1. Click on Profile
2. Enter Client Info and press Save
3. Follow FastTrack Buttons

FIG. 15

# REPLACEMENT SHEET

PW Apps. User be00255 - Microsoft Internet Explorer										_		☐		✕					
PaineWebber <sup>(R)</sup> ConsultNet <sup>SM</sup>				Back		Forward		Refresh		Home		Internet		Exit		Print		SP	
Research PW Apps. Market Data Client Inquiry InfoNet Online Portfolio Review InsightOne Horses Mouth Fin. Framework ...																			
<h2>horsesmouth</h2> <h3>Front Page</h3> <p><b>Site Tour</b>          New to horsesmouth?          Make sure you're getting the most out of it by taking our <u>quick tour</u>.</p> <p><b>horsesmouth live</b>          7/12 - <u>Uncover Hidden Client Assets:</u>  <u>Transitioning to a Fee-Based Practice</u></p> <p>7/13 - <u>Gain New Insights for Wealthy Elderly Market</u></p> <p>7/17 - <u>Organize Your</u></p>										<p><b>Using Technology</b>  <u>Use Your Logo as Document Backdrop</u>          10:00 AM Technology Expert Ed McCarthy shows you how to dress up a PowerPoint presentation using watermark images to subtly promote your brand.</p> <p><b>Morning Line</b>  <u>JDS Uniphase Acquiring DSL</u>          8:30AM also, Korean firms ay no final decision on Qualcomm; Diageo considers selling Pillsbury; German Banks consider tie with Allianz; plus market movers.</p> <p><b>Opportunity Log</b>  <u>Hook Prospects with a Knowledgeable Line</u>          6:00AM our multi-million dollar producer tell you where, when , and how to use KCOs to get attention and impress</p> <p><b>Business Coaching</b>  <u>How to Turn Prospects Into Clients</u>          Friday Improve your closing ration dramatically with a clearly defined meeting strategy.</p>									

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FIG. 16



# REPLACEMENT SHEET

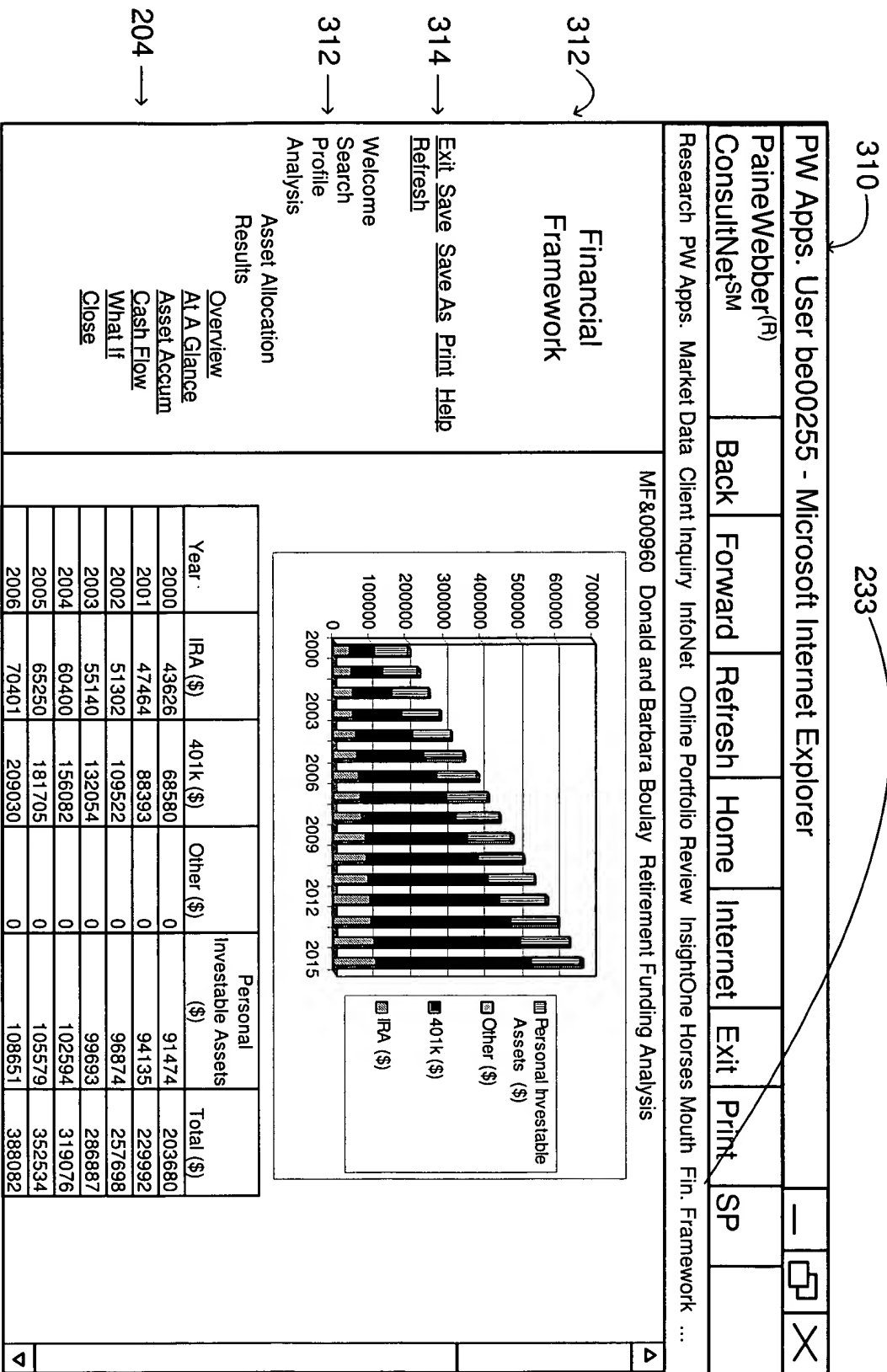


FIG. 17





PW Apps. User be00255 - Microsoft Internet Explorer										_									
PaineWebber <sup>(R)</sup> ConsultNet <sup>SM</sup>				Back		Forward		Refresh		Home		Internet		Exit		Print		SP	
Research PW Apps. Market Data Client Inquiry InfoNet Online Portfolio Review InsightOne Horses Mouth Fin. Framework ...																			
			Configure Favorites																
<div><div><div>Mainframe Applications Market Data Client Inquiry Client Info Operations Insight One Research Support Tools</div><div><div>Add</div><div>Remove</div></div><div><div>Favorites 18 Month History Action Strategy Balance Broker Activity Daily Revenue Fixed Income Research Info Main Menu Money Line Morning Notes National Rates Opinion On Stocks Positions STAR Recommended Stock Listing ...</div></div></div></div>																			
<div><div><div><div>246</div><div>242</div></div><div><input type="checkbox"/> Show Favorites on Startup</div><div><div>Comfig. Terminals</div><div>Apply</div><div>Cancel</div></div></div></div>																			

FIG. 18



REPLACEMENT SHEET

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PW Apps. User be00255 - Microsoft Internet Explorer										—		
PaineWebber <sup>(R)</sup> ConsultNet <sup>SM</sup>				Back	Forward	Refresh	Home	Internet	Exit	Print	SP	
Research PW Apps. Market Data Client Inquiry InfoNet Online Portfolio Review InsightOne Horses Mouth Fin. Framework ...												
			<div>Configure Terminal</div> <div><input checked="" type="radio"/> Horizontal <input type="radio"/> Vertical</div> <div>Split Screen Font: <input type="text" value="10"/> ▾</div> <div>Tab Screen Font: <input type="text" value="Auto"/> ▾</div> <div><input type="checkbox"/> Show Split Screen</div> <div><input type="checkbox"/> Use a Block Cursor</div> <div>Your USAID is at69</div> <div>Your first terminal is a pooled terminal id terminal window</div> <div>    This terminal's name is TN36BD02</div> <div>    This terminal id is BD02</div> <div>Your second terminal is a pooled terminal id terminal window</div> <div>    This terminal's name is TN36BC01</div> <div>    This terminal id is BC01</div> <div>Your third terminal is a pooled terminal id terminal window</div> <div>    This terminal's name is TN36BB01</div> <div>    This terminal id is BB01</div> <div><input type="button" value="Config. Favorites"/> <input type="button" value="Apply"/> <input type="button" value="Cancel"/></div>									

FIG. 19

REPLACEMENT SHEET



PW Apps. User be00255 - Microsoft Internet Explorer										<input type="button" value="-"/> <input type="button" value="X"/>	
PaineWebber <sup>(R)</sup> ConsultNet <sup>SM</sup>				Back	Forward	Refresh	Home	Internet	Exit	Print	SP
Research PW Apps. Market Data Client Inquiry InfoNet Online Portfolio Review InsightOne Horses Mouth Fin. Framework ...											
				PWERN MORNING MEETING NOTES HEADLINES FOR INTERNAL USE ONLY							
APRIL 1, 1999 PAGE 1 OF 197											
1 TECHNOLOGY: FIRST QUARTER WAS TOUGHER ON THE TECHS 2 POCH: ANALYSTS MEETING MAINTAIN HOLD RATING WDR 3 BROADLINES RETAIL: MARCH SALES PREVIEW 4 ATLANTIC PITCHFIELD: DOWNGRADE TO NEUTRAL 5 CBS AND KING WORLD MERGER PLANS ACCRETIVE TO FCF 6 NATGAS INSIGHT: ANOTHER PAINFUL HEATING SEASON ENDS 7 ICI: EARNINGS COULD POP ON MAJOR DEAL											
ACCT AB12345 IE: TS CODE: V PAGE S TEST A T RETURN: CAP APPREC RISK: 1 CONSERVATIVE 2. NONE SELECTED L/A: T1 04/01/98 TYPE TRADE DATE BAL SETTLE DATE BAL EQUIT MKT VALUE -HSCALS 1-CASH -1.00 -1.00 1- 0 0 ----- TOTAL -1.00 -1.00 1- 0 0 UNMRGN: +0.00 SMA: 0 MKT VAL: +0.00 BUY PWR: 0 ----- T2 EQUITY: 0% TOTAL VAL: -1.00 FED CALL: 0											

FIG. 20

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PW Apps. User be00255 - Microsoft Internet Explorer										_		X	
PaineWebber <sup>(R)</sup> ConsultNet <sup>SM</sup>				Back	Forward	Refresh	Home	Internet	Exit	Print	SP		
Research PW Apps. Market Data Client Inquiry InfoNet Online Portfolio Review InsightOne Horses Mouth/ Fin. Framework ...													
  				PWERN MORNING MEETING NOTES HEADLINES FOR INTERNAL USE ONLY									
<div style="display: flex; justify-content: space-between;"> <span>APRIL 1, 1999</span> <span>PAGE 1 OF 197</span> </div> <div style="margin-top: 10px;"> <p>1 TECHNOLOGY: FIRST QUARTER WAS TOUGHER ON THE TECHS</p> <p>2 POCHÉ: ANALYSTS MEETING MAINTAIN HOLD RATING WDR</p> <p>3 BROADLINES RETAIL: MARCH SALES PREVIEW</p> <p>4 ATLANTIC PITCHFIELD: DOWNGRADE TO NEUTRAL</p> <p>5 CBS AND KING WORLD MERGER PLANS ACCRETIVE TO FCF</p> <p>6 NATGAS INSIGHT: ANOTHER PAINFUL HEATING SEASON ENDS</p> <p>7 ICI: EARNINGS COULD POP ON MAJOR DEAL</p> <p>....</p> <p>ENTER NUMBER FROM LIST OR &lt;ALL&gt; OR SEVERAL NUMBERS SEPARATED BY  COMMAS OR ENTER COMMAND (NUMBER, M=MENU, X=EXIT) ==&gt;</p> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;"> MA* + a </div> </div>													

FIG. 21